

# Heegaard Floer homology and Dehn surgery

## Problem Set 1

**Problem 1.** Let  $\alpha_1, \alpha_2, \dots, \alpha_n$  be  $n$  mutually disjoint, simple closed curves on a closed oriented surface  $\Sigma$ . Prove that the homology classes  $[\alpha_1], \dots, [\alpha_n] \in H_1(\Sigma)$  are linearly independent if and only if the complement  $\Sigma \setminus (\alpha_1 \cup \dots \cup \alpha_n)$  is connected.

**Problem 2.** Find a genus 1 Heegaard diagram of  $S^3$ , and use it to compute  $HF^\infty(S^3), HF^-(S^3), HF^+(S^3)$ .

**Problem 3.** Let

$$(\Sigma, \{\alpha_1, \dots, \alpha_g\}, \{\beta_1, \dots, \beta_g\})$$

be a Heegaard diagram of  $Y$ . Prove

$$H_1(Y) \cong H_1(\Sigma) / \langle [\alpha_1], \dots, [\alpha_g], [\beta_1], \dots, [\beta_g] \rangle.$$

**Problem 4.** Prove the map  $\delta: \text{Spin}^c(Y) \rightarrow H^2(Y)$  is a one-to-one correspondence.

**Problem 5.** Suppose  $s_1, s_2 \in \text{Spin}^c(Y)$ , prove

$$\delta(s_1, s_2) = \dagger \delta(\overline{s_2}, \overline{s_1}), \quad c_1(s_1) - c_1(s_2) = 2\delta(s_1, s_2).$$

As a consequence, show that the map  $c_1: \text{Spin}^c(Y) \rightarrow H^2(Y)$  is injective if  $H_1(Y)$  has no 2-torsion.

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## Problem Set 2

**Problem 1.** Prove that  $\widehat{HF}(Y, \mathfrak{s}) \neq 0$  if and only if  $HF^+(Y, \mathfrak{s}) \neq 0$ .

**Problem 2.** Let  $Y$  be a rational homology sphere,  $\mathfrak{s} \in \text{Spin}^c(Y)$ . Then the following conditions are equivalent:

- (1)  $\widehat{HF}(Y, \mathfrak{s}) \cong \mathbb{Z}$ ,
- (2)  $HF^-(Y, \mathfrak{s}) \cong \mathbb{Z}[U]$ ,
- (3)  $HF^+(Y, \mathfrak{s}) \cong \mathbb{Z}[U, U^{-1}]/U\mathbb{Z}[U]$ ,
- (4)  $HF_{\text{red}}(Y, \mathfrak{s}) = 0$ .

**Problem 3.** If  $c_1(\mathfrak{s})$  is torsion, then the map  $HF^\infty(Y, \mathfrak{s}) \rightarrow HF^+(Y, \mathfrak{s})$  is an isomorphism when the grading is sufficiently high, and the map  $HF^-(Y, \mathfrak{s}) \rightarrow HF^\infty(Y, \mathfrak{s})$  is an isomorphism when the grading is sufficiently low.

**Problem 4.** Let  $Y$  be a closed oriented connected 3-manifold,  $\mathfrak{s} \in \text{Spin}^c(Y)$ . Prove that  $U: HF^\infty(Y, \mathfrak{s}) \rightarrow HF^\infty(Y, \mathfrak{s})$  is an isomorphism. In particular, if  $c_1(\mathfrak{s})$  is torsion, show that there exists a finitely generated abelian group  $A$ , such that  $HF^\infty(Y, \mathfrak{s})$  is isomorphic to  $A[U, U^{-1}]$ .

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### Problem Set 3

**Problem 1.** Suppose that  $K \subset S^3$ ,  $n$  is a positive integer. Prove that the set

$$\{\mathfrak{s} \in \text{Spin}^c(S_K^3(n)) \mid HF_{\text{red}}(S_K^3(n), \mathfrak{s}) \neq 0\}$$

has at most  $2g(K) - 1$  elements. In particular, if  $Y$  is a rational homology sphere, and there are exactly  $N$   $\text{Spin}^c$  structures  $\mathfrak{s} \in \text{Spin}^c(Y)$  satisfying  $HF_{\text{red}}(Y, \mathfrak{s}) \neq 0$ , then  $Y$  cannot be obtained by integer surgery on any knot in  $S^3$  with genus  $\leq \frac{N}{2}$ .

**Problem 2.** Let  $K \subset S^3$  be an L-space knot,  $C = CFK^\infty(S^3, K)$ ,  $k \in \mathbb{Z}$ .

(1) Prove that  $H_*(C\{i < 0, j \geq k\}) \cong \mathbb{Z}\langle 1, U^{-1}, \dots, U^{1-t} \rangle$  for some integer  $t \geq 0$ .

(2) Prove

$$\chi(C\{i < 0, j \geq k\}) = t_k = \sum_{n=1}^{\infty} n a_{n+k},$$

where  $a_i$ 's are the coefficients of the normalized Alexander polynomial.

(3) Prove  $t = t_k$ .

**Problem 3.** Let  $K \subset S^3$  be an L-space knot,  $C = CFK^\infty(S^3, K)$ ,  $k \in \mathbb{Z}$ .

(1) Prove that  $H_*(C\{\max(i, j - k) = 0\}) \cong \mathbb{Z}$ .

(2) Prove that  $H_*(C\{i < 0, j = k\})$  is either 0 or  $\mathbb{Z}$ , the same is true for  $H_*(C\{i = 0, j \leq k\})$ .

(3) Prove that exactly one of the two groups  $H_*(C\{i < 0, j = k\})$  and  $H_*(C\{i = 0, j \leq k\})$  is  $\mathbb{Z}$ .

(4) Prove that if  $H_*(C\{i = 0, j = k\}) \cong \mathbb{Z}^2$ , then both  $H_*(C\{i < 0, j = k\})$  and  $H_*(C\{i \leq 0, j = k\})$  are  $\mathbb{Z}$ .

(5) Prove that  $H_*(C\{i = 0, j = k\})$  is either 0 or  $\mathbb{Z}$ . As a consequence, the coefficients of the Alexander polynomial of an L-space knot are 0 or  $\pm 1$ .